1/77 met > Sygnthesis LPf - periodic in freq Sampled M(f)١٠/ (٥) aliasing s anti-aliasing

f, > 2W AM 5M puble Amp Mod (PAM)
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# Communications and Signals Processing

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## Chapter 5 - Outlines

- 5.1 Sampling Process
- 5.2 Pulse-Amplitude Modulation
- 5.3 Pulse-Position Modulation

- □ In *continuous-wave (CW)* modulation, which we studied in Chapters 3 and 4, some parameter of a sinusoidal carrier wave is varied *continuously* in accordance with the message signal
- ☐ This is in direct contrast to *pulse modulation*, which we study in the present chapter
- □ In *pulse modulation*, some parameter of a pulse train is varied in accordance with the message signal

#### In the first step from analog to digital:

- > An analog source is sampled at discrete times
- The resulting analog samples are then transmitted by means of analog pulse modulation.
  - *Pulse-Amplitude Modulation (PAM)*, the simplest form of analog pulse modulation.
  - Pulse-Position Modulation (PPM)

#### In the second step from analog to digital:

- An analog source is not only sampled at discrete times but also the samples themselves are also *quantized to discrete levels*.
  - Pulse-code Modulation ( PCM)
  - Delta Modulation (DM)
  - Differential pulse-code modulation

#### Why Digitize Analog Source?

Advantages of digital transmission over analog transmission:

- Digital systems <u>are less sensitive to noise</u> than analog.
  - For long transmission lengths, the signal may be regenerated effectively error-free at different point along the path and the original signal transmitted over the remaining length.

#### Why Digitize Analog Source?

With digital systems, it is easier to integrate different services, for example,

Video and the accompanying soundtrack, into the same transmission scheme.

#### Why Digitize Analog Source?

The transmission scheme can be <u>relatively independent of the</u> <u>source</u>. For example

➤ A digital transmission scheme that transmits voice at 10 kbps could also be used to transmit computer data at 10 kbps

#### Why Digitize Analog Source?

Circuitry for handling digital signals is <u>easier to repeat</u> and digital circuits are <u>less sensitive to physical effect</u> such as vibration and temperature

#### Why Digitize Analog Source?

Digital signals are simpler to characterize and typically *do not* have the same amplitude range and variability as analog signals.

This makes the associated <u>hardware easier to design</u>.

#### Why Digitize Analog Source?

- Digital techniques offer strategies for more efficient use of media, e.g. cable, radio wave, and optical fibers.
- Various media sharing strategies, known as multiplexing techniques, are more easily implemented with digital transmission strategies

#### Why Digitize Analog Source?

There are techniques <u>for removing redundancy</u> from a digital transmission, so as to minimize the amount of information that has to be transmitted.

These techniques fall under the broad classification of *source coding* and some of these techniques are discussed in Chapter 10

#### Why Digitize Analog Source?

There are techniques for <u>adding controlled redundancy</u> to digital transmission, such that errors occur during transmission may be corrected at the receiver without any additional information.

These techniques fall under the general category of *channel coding*, which is described in Chapter 10.

#### Why Digitize Analog Source?

Digital techniques make it <u>easier to specify complex</u> <u>standards</u> that may be shared on a worldwide basis.

This allows the development of communication components with many different features (e.g., a cellular handset) and their interoperation with a different component (e.g., a base station) produced by a different manufacturer

#### Why Digitize Analog Source?

It should be emphasized that the majority of these advantages for digital transmission rely *on availability of low-cost microelectronics* 

- ☐ Through use of the sampling process, an analog signal is converted into a corresponding sequence of samples that are usually spaced uniformly in time.
- Recall that the Fourier transform of a *periodic signal* with period  $T_0$  consists of an infinite sequence of delta functions occurring at integer multiples of the fundamental frequency  $f_0=1/T_0$

- ☐ We may therefore state that:
  - Making a signal periodic in the time domain has the effect of sampling the spectrum of the signal in the frequency domain
  - Lising the duality property of the Fourier transform, we state that sampling a signal in the time domain has the effect of making the spectrum of the signal periodic in the frequency domain
- ☐ This latter issue is the subject of this section

- **Sampling process:** an analog signal is converted into a corresponding sequence of samples that are usually spaced uniformly in time
- □ It is necessary that we choose the <u>sampling rate</u> properly, so that the sequence of samples <u>uniquely</u> defines the original analog signal
- $\square$  Consider an arbitrary signal g(t) of finite energy, which is specified for all time t

- Suppose that we sample the signal g(t) instantaneously and at a uniform rate, once every  $T_s$  seconds
- We obtain an infinite sequence of samples spaced  $T_s$  seconds a part and denoted by  $\{g(nT_s)\}$ 
  - where **n** takes on all possible integer values, both positive and negative

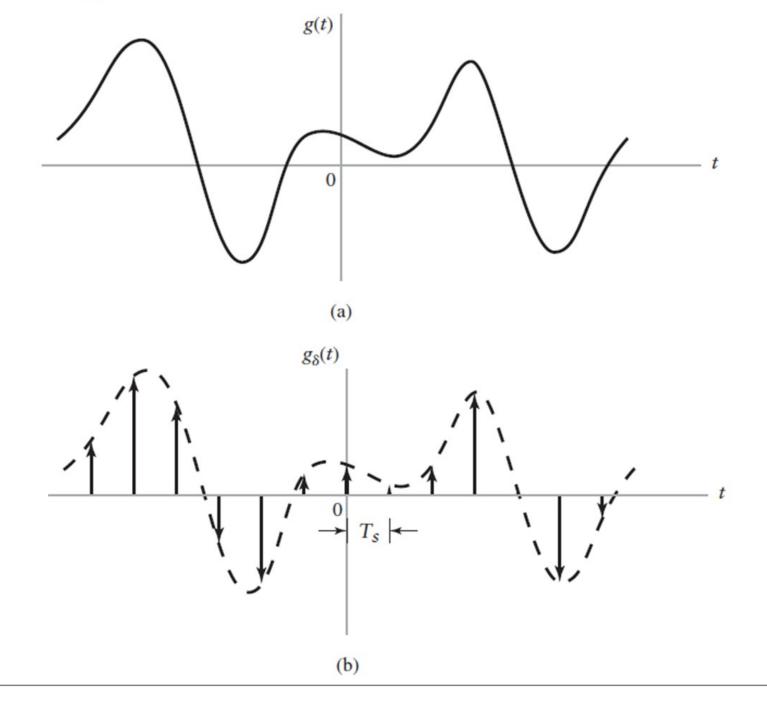
Let  $g_{\delta}(t)$  denote the ideal sampled signal, obtained by individually weighting the elements of a periodic sequence of <u>Dirac delta</u> functions spaced  $T_s$  seconds apart by the sequence of numbers  $\{g(nT_s)\}$ , as given by

$$g_{s}(t) = g(t) \cdot \left[ \sum_{n=-\infty}^{\infty} \delta(t - nT_{s}) \right] = \sum_{n=-\infty}^{\infty} g(nT_{s}) \delta(t - nT_{s})$$
 (5.1)

We refer to  $g_{\delta}(t)$  as the instantaneously (ideal) sampled signal

A delta function weighted in this manner is closely approximated by a rectangular pulse of duration  $\Delta t$  and amplitude  $g(nT_s)/\Delta t$ , the smaller  $\Delta t$  we make the better the approximation will be

We refer to  $T_s$  as the *sampling period* and  $f_s = 1/T_s$  as the *sampling rate* 



The instantaneously sampled signal  $g_{\delta}(t)$  has a mathematical form similar to that of the *Fourier transform of a periodic signal*.

$$\sum_{m=-\infty}^{\infty} g(t-mT_0) \Longrightarrow f_0 \sum_{n=-\infty}^{\infty} G(nf_0)\delta(f-nf_0)$$
 (2.88)

$$g_{\delta}(t) = \sum_{n=-\infty}^{\infty} g(nT_s) \delta(t - nT_s)$$
 (5.1)

• We may determine the Fourier transform of the sampled signal  $\mathbf{g}_{\delta}(\mathbf{t})$  by invoking the duality property of the Fourier transform

#### TABLE 5.1 Time-Frequency Sampling-Duality Relationships

Ideal sampling in the frequency domain (Discrete spectrum); see Chapter 2

Ideal sampling in the time domain (Discrete-time function); see this chapter

Fundamental period  $T_0 = 1/f_0$ 

Delta function  $\delta(f - mf_0)$ , where  $m = 0, \pm 1, \pm 2, ...$ 

Periodicity in the time-domain

Time-limited function

$$T_0 \sum_{m=-\infty}^{\infty} g(t - mT_0) = \sum_{n=-\infty}^{\infty} G(nf_0)e^{j2\pi nf_0 t}$$

$$\downarrow \downarrow$$

$$\sum_{n=-\infty}^{\infty} G(nf_0)\delta(f - nf_0)$$

Sampling rate 
$$f_s = 1/T_s$$
  
Delta function  $\delta(t - nT_s)$   
where  $n = 0, \pm 1, \pm 2,...$ 

Periodicity in the frequency domain Band-limited spectrum

$$\sum_{n=-\infty}^{\infty} g(nT_s)\delta(t-nT_s)$$

$$\downarrow \downarrow$$

$$\sum_{n=-\infty}^{\infty} g(nT_s)e^{-j2\pi nT_s f} = f_s \sum_{m=-\infty}^{\infty} G(f-mf_s)$$

Instantaneous Sampling and Frequency-Domain

Consequences

Applying the duality in the table, we get the Fourier transform

$$g_{\delta}(t) = \sum_{n=-\infty}^{\infty} g(nT_s) \delta(t - nT_s) \qquad (5.1)$$

$$g_{\delta}(t) \rightleftharpoons f_s \sum_{m=-\infty}^{\infty} G(f - mf_s) \qquad (5.2)$$

where G(f) is the Fourier transform of the original signal g(t) and  $f_s$  is the sampling rate  $1/T_s$ .

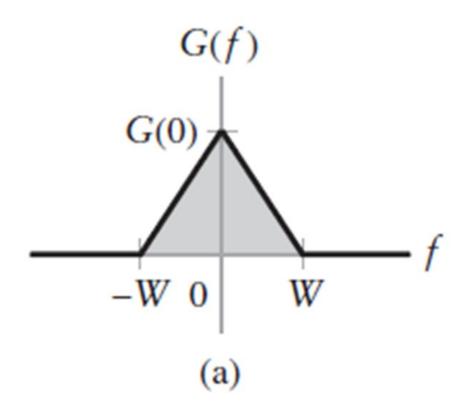
$$g_{\delta}(t) \rightleftharpoons f_{s} \sum_{m=-\infty}^{\infty} G(f - mf_{s})$$
 (5.2)

Eq. (5.2) state that the process of uniformly sampling a continuous times signal of finite energy results in a periodic spectrum with a period equal to the sampling rate

#### **Sampling Theorem**

For a strictly <u>band-limited</u> g(t), with no frequency components higher than W Hz, then

$$G(f) = 0$$
 for  $|f| \ge W$  (Band-Limited Signal)

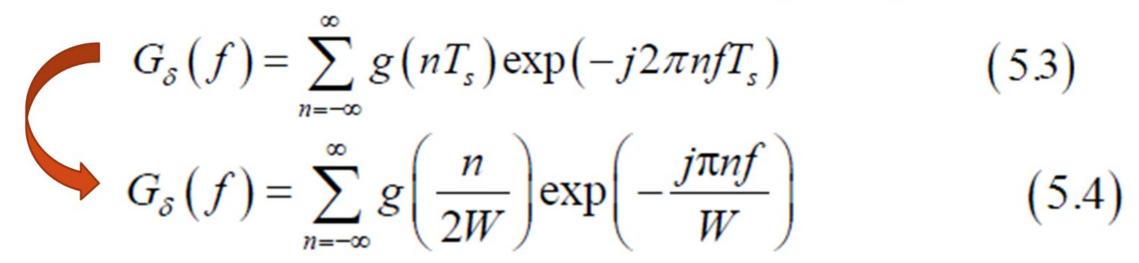


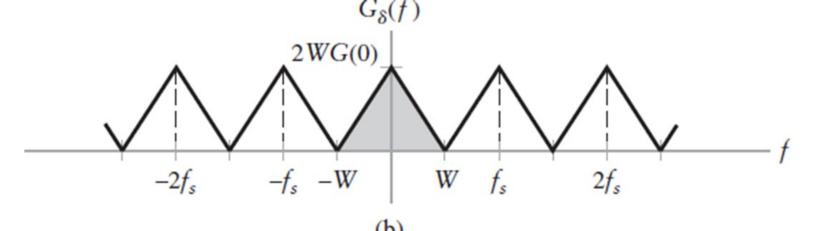
#### **Sampling Theorem**

 $\lozenge$  Using a sampling period  $T_s = \frac{1}{2}W$ , then  $f_s = 2W \left( \text{ or } T_s = \frac{1}{2W} \right)$ 

$$f_s = 2W \left( \text{ or } T_s = \frac{1}{2W} \right)$$

The spectrum  $G_{\delta}(f)$  of the sampled signal  $g_{\delta}(t)$  is





From Eq. (5.2), we readily see that the Fourier transform of  $\mathbf{g}_{\delta}(\mathbf{t})$  may also be expressed as

$$g_{\delta}(t) \rightleftharpoons f_{s} \sum_{m=-\infty}^{\infty} G(f - mf_{s})$$
 (5.2)

$$G_{\mathcal{S}}(f) = f_{\mathcal{S}}G(f) + f_{\mathcal{S}} \sum_{\substack{m = -\infty \\ m \neq 0}}^{\infty} G(f - mf_{\mathcal{S}})$$
 (5.5)

For a strictly band-limited signal and under the two conditions

$$G_{\delta}(f) = 2W G(f)$$
,  $-W < f < W$ 

$$G(f) = \frac{1}{2W}G_{\delta}(f), \quad -W < f < W \tag{5.6}$$

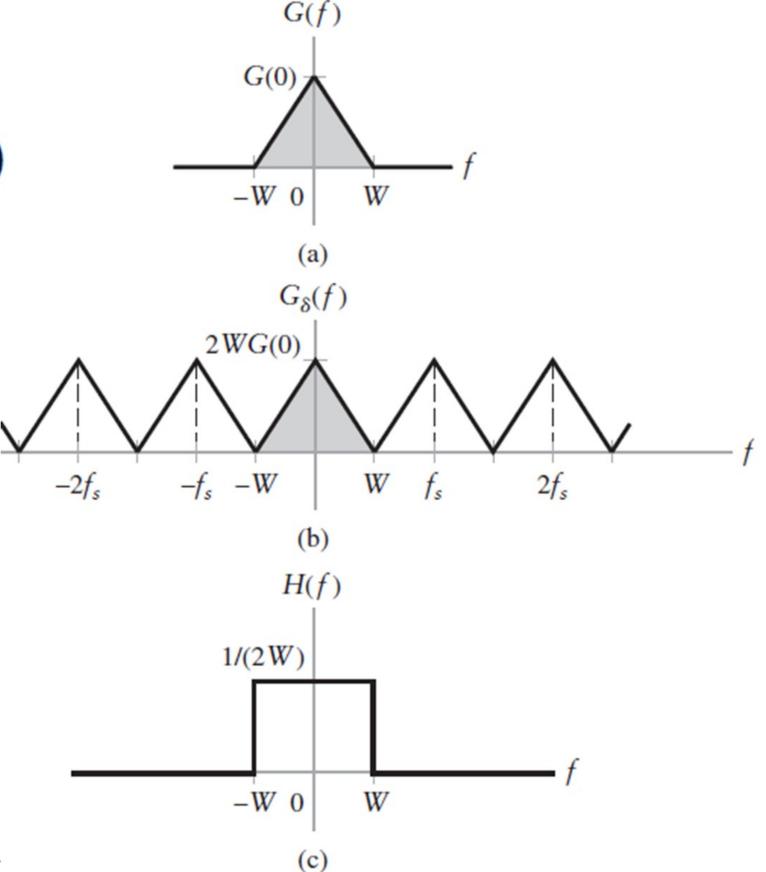
Dr. Ahmed Masri

From Eq. (5.5 and 5.6)

$$G_{\mathcal{S}}(f) = f_{\mathcal{S}}G(f) + f_{\mathcal{S}} \sum_{\substack{m = -\infty \\ m \neq 0}}^{\infty} G(f - mf_{\mathcal{S}})$$

$$G(f) = \frac{1}{2W}G_{\delta}(f), -W < f < W$$

**FIGURE 5.2** (a) Spectrum of a strictly band-limited signal g(t). (b) Spectrum of instantaneously sampled version of g(t) for a sampling period  $T_s = 1/2$  W. (c) Frequency response of ideal low-pass filter aimed at recovering the original message signal g(t) from its uniformly sampled version.



$$G_{\delta}(f) = \sum_{n=-\infty}^{\infty} g\left(\frac{n}{2W}\right) \exp\left(-\frac{j\pi nf}{W}\right) \tag{5.4}$$

 $\Diamond$  Substituting Eq. (5.4) in Eq. (5.6), we may also write

$$G(f) = \frac{1}{2W}G_{\delta}(f), \quad -W < f < W$$

$$G(f) = \frac{1}{2W} \sum_{n=-\infty}^{\infty} g\left(\frac{n}{2W}\right) \exp\left(-\frac{j\pi nf}{W}\right), \quad -W < f < W \quad (5.7)$$

- $\Diamond$  Therefore, if the sample values of a signal g(t) are specified for all time, then the Fourier transform G(f) of the signal is uniquely determined by using the discrete time Fourier transform of Eq. (5.7).
- $\Diamond$  In the other words, the sequence  $\{g(n/2W)\}$  has all the information contained in g(t).

#### Reconstructing the signal of g(t)

Substituting Eq. (5.7) in the formula for the <u>inverse</u> Fourier transform g(t) in terms of G(f), we get

$$g(t) = \int_{-\infty}^{\infty} G(f) \exp(j2\pi ft) df$$

$$= \int_{-W}^{W} \frac{1}{2W} \sum_{n=-\infty}^{\infty} g\left(\frac{n}{2W}\right) \exp\left(-\frac{j\pi nf}{W}\right) \exp\left(j2\pi ft\right) df$$

#### Reconstructing the signal of g(t)

♦ Interchanging the order of summation and integration

$$g(t) = \sum_{n=-\infty}^{\infty} g\left(\frac{n}{2W}\right) \frac{1}{2W} \int_{-W}^{W} \exp\left[j2\pi f\left(t - \frac{n}{2W}\right)\right] df \qquad (5.8)$$

$$g(t) = \sum_{n=-\infty}^{\infty} g\left(\frac{n}{2W}\right) \frac{\sin(2\pi Wt - n\pi)}{(2\pi Wt - n\pi)}$$

$$g(t) = \sum_{n=-\infty}^{\infty} g\left(\frac{n}{2W}\right) \operatorname{sinc}(2Wt - n) - \infty < t < \infty$$
 (5.9)

Eq. is the <u>interpolation formula</u> for reconstructing the original signal g(t) from the sequence of sample values  $\{g(n/2W)\}$ 

#### Reconstructing the signal of g(t)

♦ *Interpolation* is a method of constructing new data points within the range of *a discrete set* of known data points

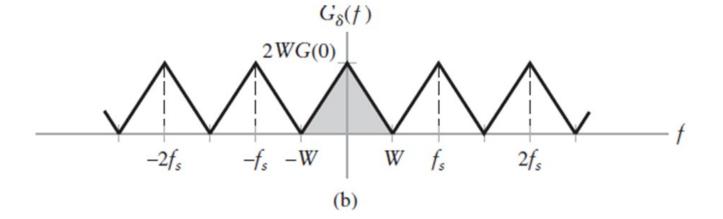
$$g(t) = \sum_{n=-\infty}^{\infty} g\left(\frac{n}{2W}\right) \operatorname{sinc}(2Wt - n) - \infty < t < \infty \quad (5.9)$$

♦ Each sample is multiplied by a delayed version of the interpolation function, and all the resulting waveforms are added to obtain g(t)

#### Reconstructing the signal of g(t)

♦ In light of Eq. (5.4): The *synthesis filter* or *reconstruction* filter aimed at recovering the original strictly band-limited signal  $\mathbf{g(t)}$  from its instantaneously sampled version  $\mathbf{g}_{\delta}(\mathbf{t})$  in accordance with Eq. (5.7) *consists of an <u>ideal low-pass</u>* whose frequency response is limited exactly to the same band as the signal  $\mathbf{g(t)}$ 

 $G_{\delta}(f) = \sum_{n=-\infty}^{\infty} g\left(\frac{n}{2W}\right) \exp\left(-\frac{j\pi nf}{W}\right) \tag{5.4}$ 



- We may now state the *sampling theorem* for strictly band-limited signals of finite energy in two equivalent parts:
- 1. *Analysis*.(Transmitter part) A band-limited signal of finite energy that has no frequency components higher than W hertz is completely *described* by specifying the values of the signal at instants of time separated by 1/2W seconds.

2. *Synthesis*.(Receiver part) A band-limited signal of finite energy that has no frequency components higher than W hertz is completely *recovered* from knowledge of its samples taken at the rate of 2W samples per second.

- The sampling rate of 2W samples per second for a signal bandwidth of W hertz is called the *Nyquist* rate;
- And its reciprocal 1/2W (measured in seconds) is called the *Nyquist interval*
- Note also that the Nyquist rate is the minimum sampling rate permissible.

#### **Aliasing Phenomenon - I**

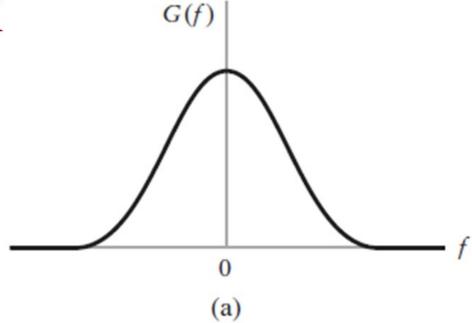
- Derivation of the sampling theorem is based on the assumption that the signal g(t) is strictly band-limited
- In practice, however, no information-bearing signal of physical origin is strictly band-limited
- As a result, some degree of *under sampling* is always encountered

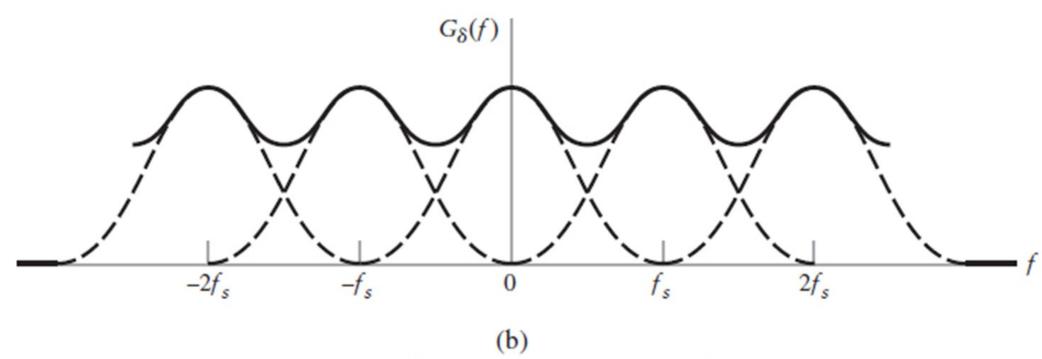
#### **Aliasing Phenomenon - II**

- Consequently, *aliasing* is produced by the sampling process
- *Aliasing* refers to the phenomenon of a high-frequency component in the spectrum of the signal seemingly taking on the identity of a lower frequency in the spectrum of its sampled version, as illustrated in Fig. 5.3

#### Aliasing Phenomenon - III

> Fig. 5.3





**FIGURE 5.3** (a) Spectrum of a signal. (b) Spectrum of an undersampled version of the signal, exhibiting the aliasing phenomenon.

#### **Aliasing Phenomenon - IV**

The aliased spectrum shown by the solid curve in Fig. 5.3(b) pertains to an "undersampled" version of the message signal represented by the spectrum of Fig. 5.3(a).

#### Aliasing Phenomenon - V

To combat the effects of aliasing in practice, we may use two corrective measures:

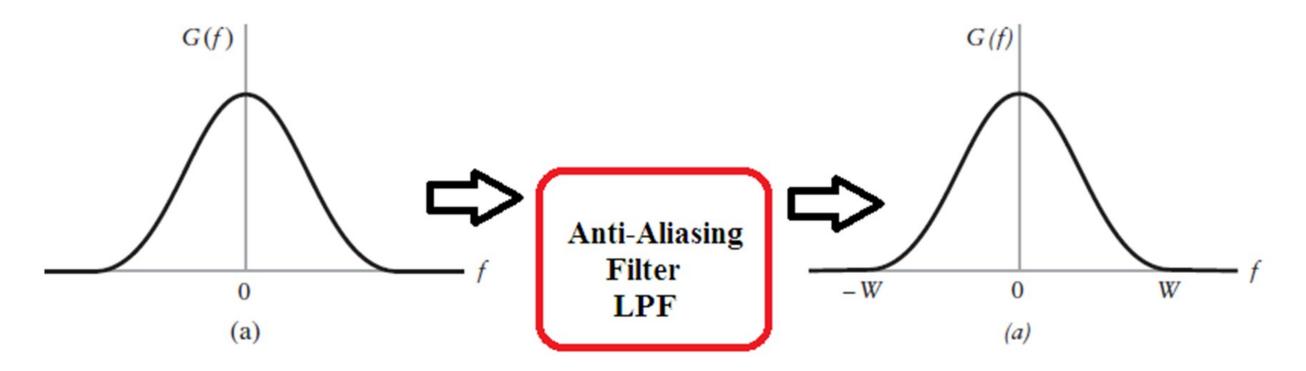
- 1. Prior to sampling, a <u>low-pass anti-alias filter</u> is used to <u>attenuate</u> those high-frequency components of a message signal that are not essential to the information being conveyed by the signal
- 2. The filtered signal is sampled at a rate <u>slightly</u> <u>higher than the Nyquist rate</u>

#### Aliasing Phenomenon - VI

The use of a sampling rate higher than the Nyquist rate also has the beneficial effect of easing the design of the *synthesis filter* used to recover the original signal from its sampled version

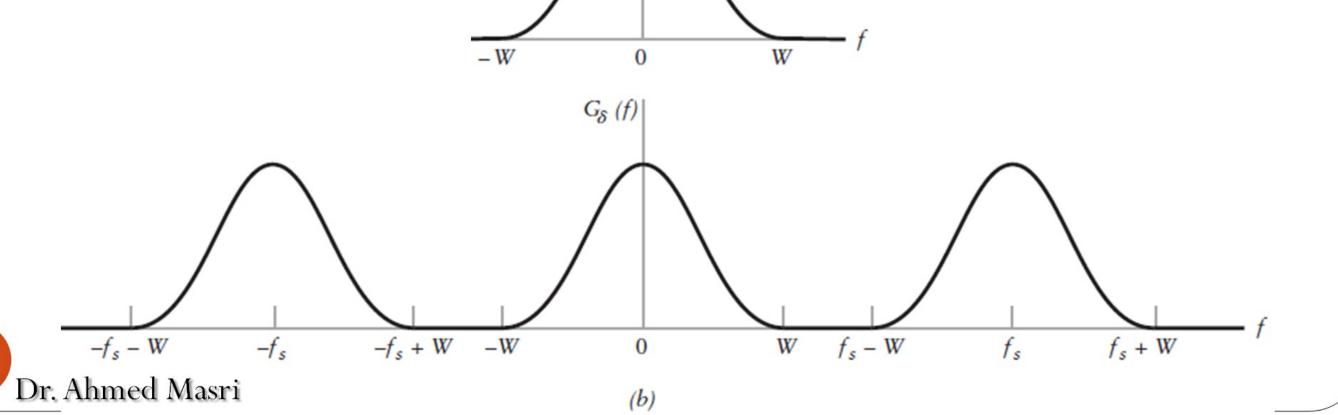
#### **Aliasing Phenomenon - VII**

Consider the example of a message signal that has been anti-alias (low-pass) filtered, resulting in the spectrum shown in Fig. 5.4(a)



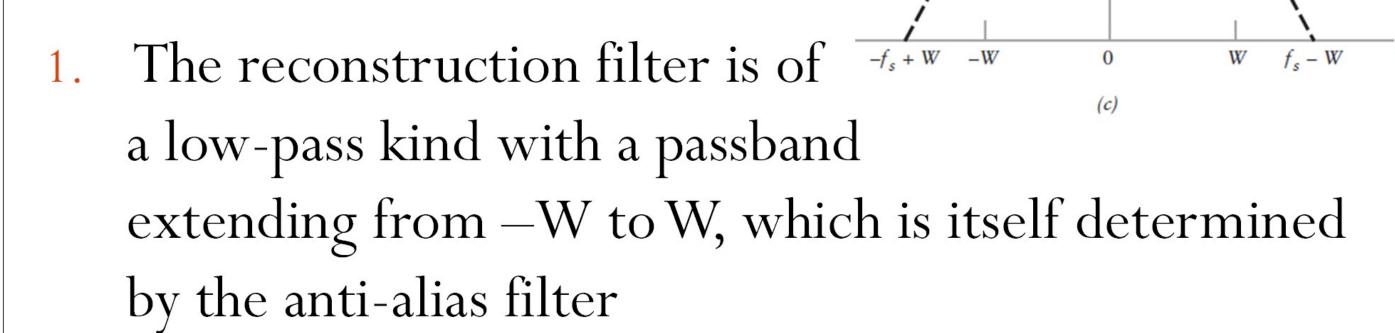
#### **Aliasing Phenomenon - VIII**

The spectrum of the instantaneously sampled version of the signal is shown in Fig. 5.4(b), assuming a sampling rate higher than the Nyquist rate.

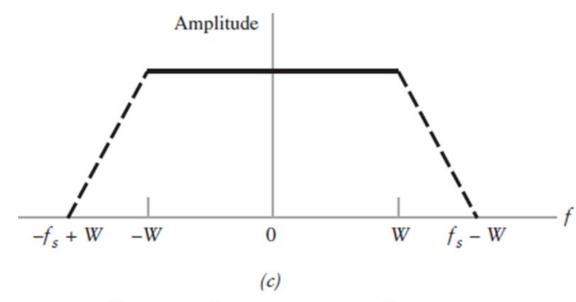


#### **Aliasing Phenomenon - IX**

The design of a physically realizable *synthesis filter*, may be achieved as follows (see Fig. 5.4(c)):



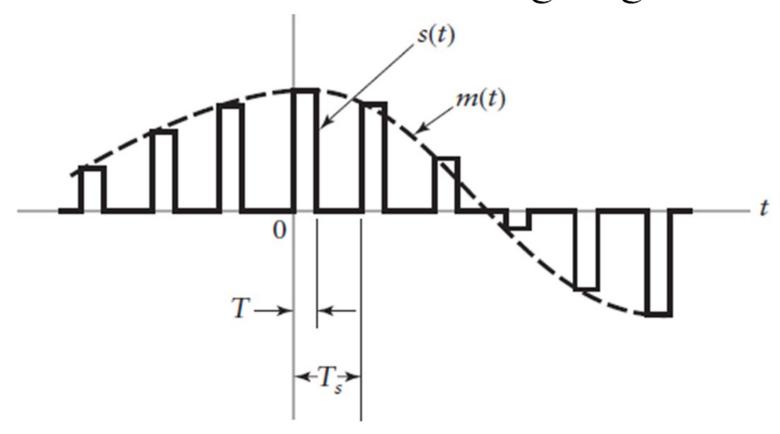
#### **Aliasing Phenomenon - IX**



2. The filter has a non-zero transition band extending (for positive frequencies) from W to  $f_s$  –W where  $f_s$  is the sampling rate.

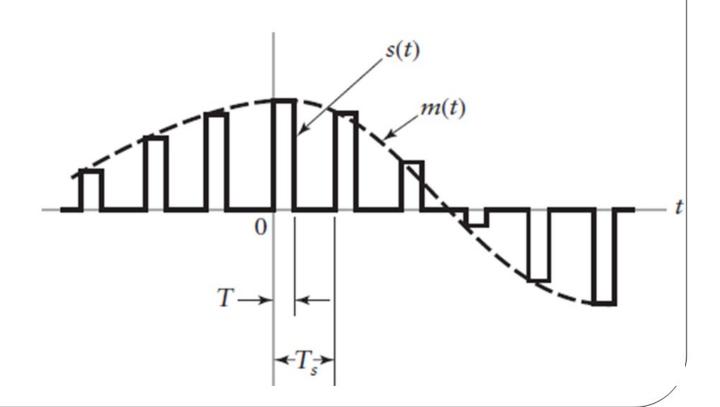
The simplest and most basic form of analog pulse modulation techniques

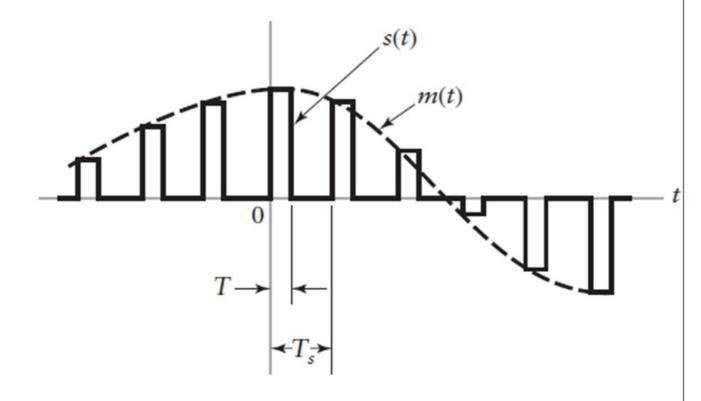
❖ In *Pulse-Amplitude Modulation (PAM)*, the <u>amplitudes</u> of regularly spaced pulses are varied in proportion to the corresponding sample values of a continuous message signal



There are two operations involved in the generation of the PAM signal:

1. Instantaneous sampling of the message signal m(t) every  $T_s$  seconds, where the sampling rate  $f_s$ =1/ $T_s$  is chosen in accordance with the sampling theorem

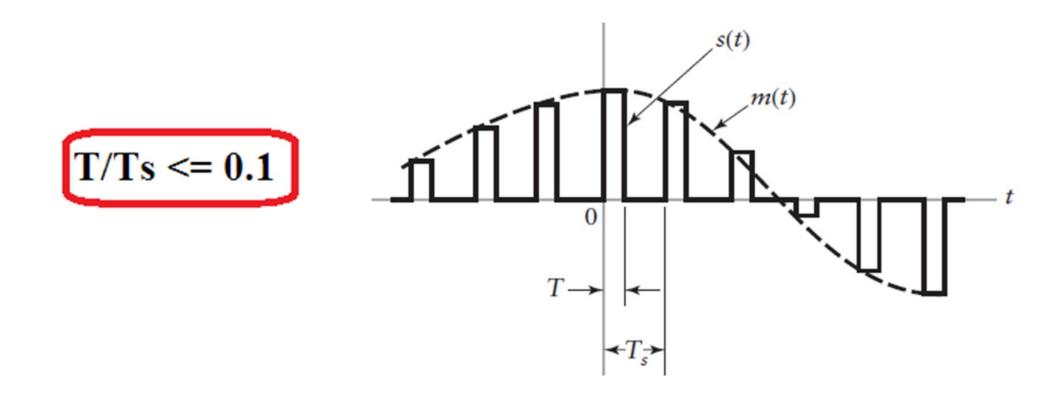




- 2. Lengthening the duration of each sample, so that it occupies some finite value T
- > We refer to these two operations as "sample and hold"

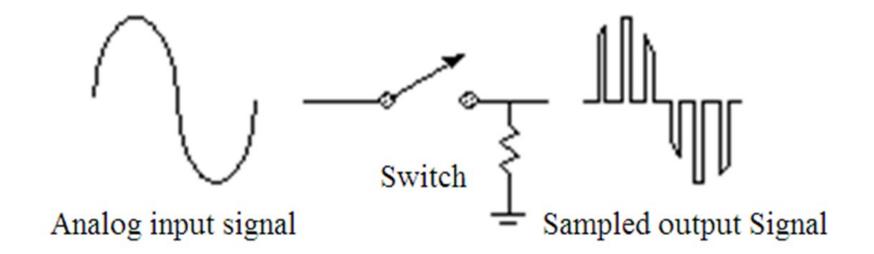
□ One important reason for intentionally lengthening the duration of each sample is to avoid the use of an excessive channel bandwidth, since bandwidth is inversely proportional to pulse duration, BW = 1/T

However, care has to be exercised in how long we make the sample duration T, as the following:



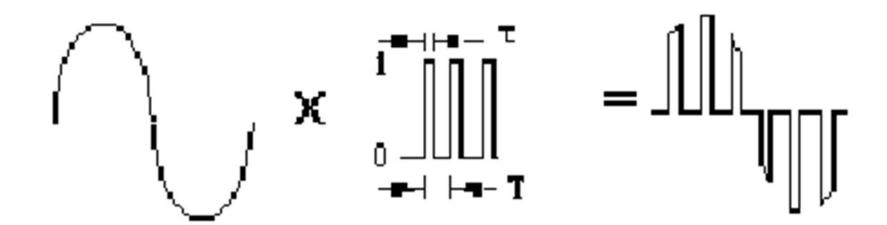
#### **Natural Sampling**

In this type of sampling, the resultant signal follows the natural shape of the input during the sampling interval.



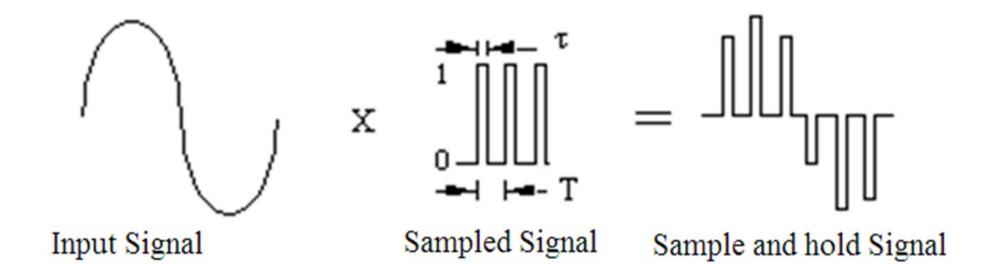
#### **Natural Sampling**

The sampling function can be regarded as a form of <a href="multiplication"><u>multiplication</u></a>. An output occurs when the input is multiplied by 1, but nothing emerges when it is multiplied by zero



### Flat Topped Sampling

The sampled signal is *held constant* during the conversion process. This alters the time and frequency domain components



#### Sample-and-hold Filter: Analysis - I

Let s(t) denote the sequence of flat-top pulses. Hence, we may express the PAM signal as

$$s(t) = \sum_{n=-\infty}^{\infty} m(nT_s)h(t-nT_s)$$
 (5.8)

where

- Ts is the sampling period
- m(nTs) is the sample value of m(t) obtained at time t = nTs
- h(t) is a standard rectangular pulse of unit amplitude and duration T (1, 0 < t < T)

$$b(t) = \text{rect}\left(\frac{t - \frac{T}{2}}{T}\right) = \begin{cases} 1, & 0 < t < T\\ \frac{1}{2}, & t = 0, t = T\\ 0, & \text{otherwise} \end{cases}$$
 (5.9)

#### Sample-and-hold Filter: Analysis - II

The instantaneously sampled version of m(t) is given by

$$m_{\delta}(t) = \sum_{n=-\infty}^{\infty} m(nT_s)\delta(t-nT_s)$$
 (5.10)

To get PAM signal s(t), we convolve  $m_{\delta}(t)$  with the pulse h(t)

$$m_{\delta}(t) \star h(t) = \int_{-\infty}^{\infty} m_{\delta}(\tau)h(t-\tau) d\tau$$

$$= \int_{-\infty}^{\infty} \sum_{n=-\infty}^{\infty} m(nT_s) \delta(\tau - nT_s) h(t - \tau) d\tau$$

$$=\sum_{n=-\infty}^{\infty}m(nT_s)\int_{-\infty}^{\infty}\delta(\tau-nT_s)h(t-\tau)\ d\tau \tag{5.11}$$

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#### Sample-and-hold Filter: Analysis - III

$$=\sum_{n=-\infty}^{\infty}m(nT_s)\int_{-\infty}^{\infty}\delta(\tau-nT_s)h(t-\tau)\ d\tau \tag{5.11}$$

Using the sifting property of the delta function—namely

$$\int_{-\infty}^{\infty} \delta(\tau - nT_s)h(t - \tau) d\tau = h(t - nT_s)$$

we find that Eq. (5.11) reduces to

$$m_{\delta}(t) \star h(t) = \sum_{n=-\infty}^{\infty} m(nT_s)h(t-nT_s)$$
 (5.12)

$$s(t) = m_{\delta}(t) \star h(t) \tag{5.13}$$

#### Sample-and-hold Filter: Analysis - IV

$$s(t) = m_{\delta}(t) \star h(t) \tag{5.13}$$

Taking the Fourier transform of both sides, we get

$$S(f) = M_{\delta}(f)H(f) \tag{5.14}$$

From Eq. (5.2)

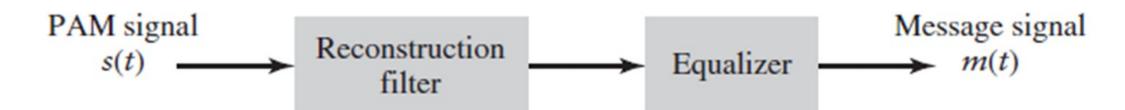
$$M_{\delta}(f) = f_s \sum_{k=-\infty}^{\infty} M(f - kf_s)$$
 (5.15)

So S(f) is given by

$$S(f) = f_s \sum_{k=-\infty}^{\infty} M(f - kf_s)H(f)$$
(5.16)

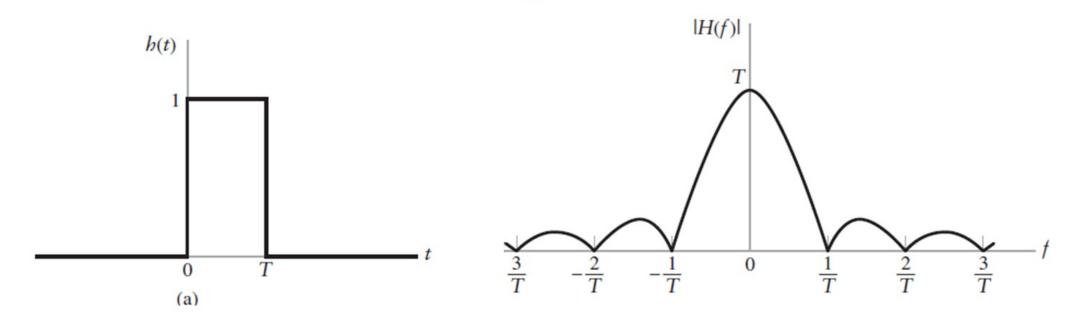
#### Aperture Effect And Its Equalization - I

Recovering the original message signal m(t) from s(t):



**FIGURE 5.7** Recovering the message signal m(t) from the PAM signal s(t).

#### Aperture Effect And Its Equalization - II



**FIGURE 5.6** (a) Rectangular pulse h(t). (b) Spectrum H(f)

By using flat-top samples to generate a PAM signal, we have introduced amplitude distortion as well as a delay of T/2

#### Aperture Effect And Its Equalization - III

This distortion may be corrected by connecting an *equalizer* in cascade with the *low-pass reconstruction* filter

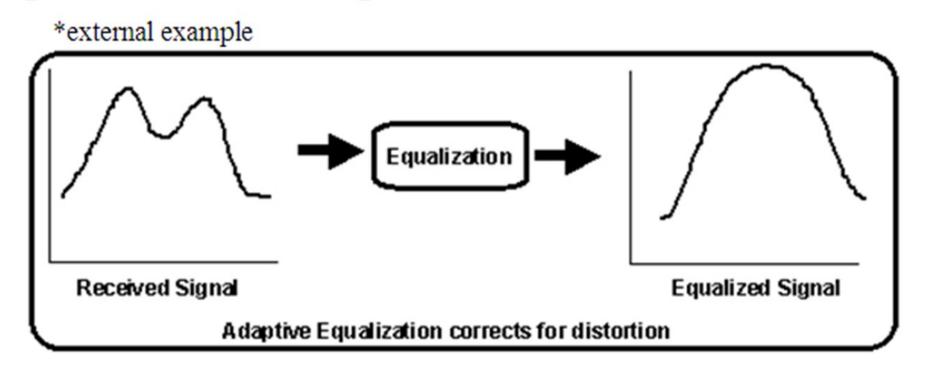


**FIGURE 5.7** Recovering the message signal m(t) from the PAM signal s(t).

Equalization, the process of adjusting the strength of certain frequencies within a signal

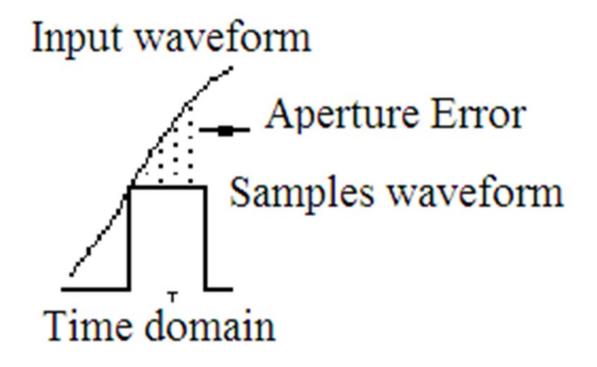
#### Aperture Effect And Its Equalization - IV

The equalizer has the effect of decreasing the in-band loss of the reconstruction filter as the frequency increases in such a manner as to compensate for the aperture effect



#### Aperture Error (effect)

Aperture error is the difference between the actual value of the input signal, and the flat-topped sample value



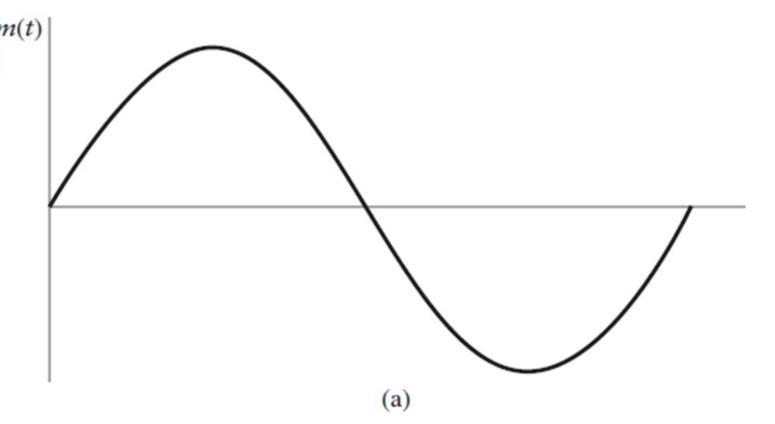
#### Aperture Effect And Its Equalization - V

For a duty cycle  $T/Ts \le 0.1$ , the amplitude distortion is less than 0.5 percent, in which case the need for equalization may be omitted altogether

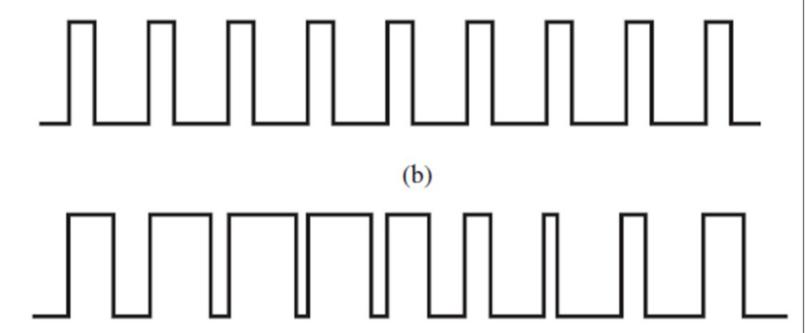
- In *Pulse-Duration Modulation (PDM)*, the samples of the message signal are used to vary the <u>duration</u> of the individual pulses. We refer to it also by:
- > Pulse-Width Modulation or Pulse-Length Modulation
- ☐ The modulating signal m(t) may vary the time of occurrence of the <u>leading edge</u>, the <u>trailing edge</u>, or <u>both edges</u> of the pulse

Pulse-Duration Modulation m(t)

(a) Modulating wave.



(b) Pulse carrier



(c) PDM wave

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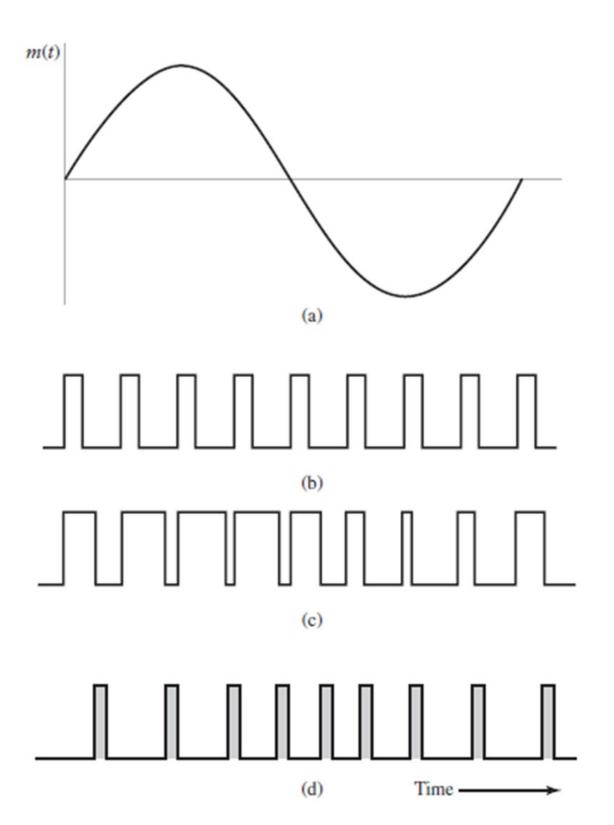
(c)

#### Pulse-Duration Modulation

- □ PDM is <u>wasteful of power</u>, in that long pulses expend considerable power during the pulse while <u>bearing no additional</u> information
- Power saving through considering only time transitions
- ☐ This will lead to *Pulse-Position Modulation (PPM)*

#### Pulse-Position Modulation

☐ In PPM, the position of a pulse relative to its *unmodulated time* of occurrence is varied in accordance with the message signal



#### Pulse-Position Modulation

☐ The PPM signal

$$s(t) = \sum_{n=-\infty}^{\infty} g(t - nT_s - k_p m(nT_s))$$
 (5.18)

- where  $k_{\rm p}$  is the sensitivity factor of the pulse-position modulator (in seconds per volt)
- ☐ The different pulses constituting the PPM signal s(t) must be strictly <u>nonoverlapping</u>

$$g(t) = 0, |t| > (T_s/2) - k_b |m(t)|_{\text{max}} (5.19)$$

$$|k_p|m(t)|_{\max} < (T_s/2)$$
 (5.20)